**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Eiki MatsuoApplication No./Patent No.: 10/826,479 Filed/Issue Date: 4/16/2004Entitled: Imaging Optical System

NEC Viewtechnology, Ltd.

a

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015245, Frame 0255, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

February 3, 2005

Date

Tomoo Yanase

Typed or printed name

Signature

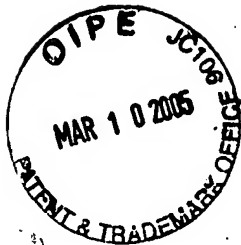
General Manager

Intellectual Property Division

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9189 and select option 2.



B-4460 PCT DIV 3

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCTOBER 15, 2004

PTAS



102729391A

LADAS & PARRY
RICHARD P. BERG
5670 WILSHIRE BOULEVARD #2100
LOS ANGELES, CA 90036

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/16/2004

REEL/FRAME: 015245/0255
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
MATSUO, EIKI

DOC DATE: 05/06/2003

ASSIGNEE:

NEC VIEWTECHNOLOGY, LTD.
37-8, SHIBA 5-CHOME MINATO-KU
TOKYO-TO, JAPAN

SERIAL NUMBER: 10826479
PATENT NUMBER:
TITLE: IMAGING OPTICAL SYSTEM

FILING DATE: 04/16/2004
ISSUE DATE:

015245/0255 PAGE 2

SHAREILL COLES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

4-16-04

04-26-2004


 EV30102554508
 B-4460PCTDIV3 621784-1

102729391

 RECORDATION FORM COVER SHEET
 PATENTS ONLY

 Form PTO-1595
 ERev. 8-98)

 U.S. Department of Commerce
 Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Eiki MATSUO Additional name(s) of conveying parties attached? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	2. Name and address of receiving parties: Name: <u>NEC Viewtechnology, Ltd.</u> Internal Address: _____ Street Address: <u>37-8, Shiba 5-Chome, Minato-Ku,</u> <u>Tokyo-To, Japan</u> City: _____ State: _____ Zip: _____ Country: _____ Additional name(s) & address(es) attached? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>May 6, 2003</u> Address: _____	101826479

4. Application number(s) or patent number(s):

 If this document is being filed together with a new application, the execution date of the application is: January 7, 2002

A. Patent Application No(s):

B. Patent No(s):

 Additional numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Richard P. Berg</u> <u>LADAS & PARRY</u> Internal Address: _____ Street Address: <u>5670 Wilshire Boulevard, #2100</u> City: <u>Los Angeles</u> State: <u>CA</u> Zip: <u>90036</u>	6. Total number of application and patents involved: <u>1</u> 7. Total fee 937 CFR 3.41).....\$ <u>40.00</u> <input checked="" type="checkbox"/> Enclosed Any additional fees which may be required are <input checked="" type="checkbox"/> Authorized to be charged to deposit account No. <u>12-0415</u>
04/23/2004 REGISTRATION 00000115 10826479 01 FEB 2004 40.00 DP	8. Deposit account number: (Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard P. Berg
 Name of Person Signing
 Reg. No. 28,145

 Signature

April 16, 2004
 Date

 Total number of pages including cover sheet, attachments, and document: 121 plus \$40 check

Mail documents to be recorded with required cover sheet information to:

 Mail Stop Assignment Recordation Services
 Director of the US Patent & Trademark Office
 P.O. Box 1450
 Alexandria, VA 22313-1450

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0881-0011), Washington, D.C., 20503.

UNITED STATES OF AMERICA
ASSIGNMENT

WHEREAS, Eiki Matsuo, a individual, located at 6666-235 Oaza Kamisuwa, Suwa-Shi, Nagano-Ken, Japan (hereinafter called the ASSIGNOR) is the proprietor of the following patent application(s) in the United States of America:

U.S. Application No. 10/031,026; "Imaging Optical System"

AND WHEREAS, NEC Viewtechnology, Ltd., a corporation organized and existing under the laws of Japan, located at 37-8, Shiba 5-Chome, Minato-Ku, Tokyo-To, Japan, (hereinafter called the ASSIGNEE) is desirous of acquiring the entire right, title and interest of the ASSIGNOR in and to the aforesaid patent application(s).

NOW, THEREFORE, in consideration of U.S. \$1.00 and other good and valuable consideration, the ASSIGNOR hereby assigns to the ASSIGNEE, its successors and assigns, absolutely all its right, title and interest in and to the aforesaid patent application(s) including all emoluments, advantages, profits and benefits accruing or belonging thereto.

The ASSIGNOR consents to the ASSIGNEE'S proceeding with the aforesaid patent application(s), if any, in its own name in substitution of the ASSIGNOR and the said ASSIGNOR agrees to do all that may be required to put the foregoing into effect.

IN WITNESS WHEREOF, the said ASSIGNOR has executed this document and affixed its corporate seal this 6 day of May, 2003.

Eiki Matsuo

[Corporate Seal]

By Eiki Matsuo
Eiki MATSUO (Title)

Attest:

Misako Matsuo
Misako MATSUO (Title)

IN WITNESS WHEREOF, the said ASSIGNEE has executed this document and affixed its corporate seal this 6 day of May, 2003.

NEC Viewtechnology, Ltd.,

[Corporate Seal]

By Tomoo Yanase
Tomoo YANASE, General Manager (Title)

Attest:

Shunji Nishikawa
Shunji NISHIKAWA (Title)

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER: _____**

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.